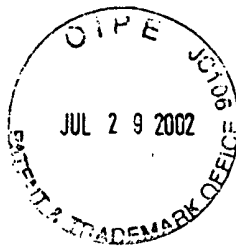


Information Disclosure Statement
 USSN 09/838,676
 July 22, 2002
 Page 3



COPY OF PAPERS
 ORIGINALLY FILED

| | | |
|--|------------------------------------|-------------------------------|
| Form PTO-1449 (Modified) | ATTY DOCKET NO. B-4093 618554-9 | U.S. SERIAL NO. 09/838,676 |
| LIST OF PATENTS AND PUBLICATIONS STATEMENT | APPLICANT(S) Robert R. Hayes | |
| | FILING DATE April 19, 2001 | GROUP 2881 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | ISSUE DATE | NAME | CLASS | SUBCLASS | FILING DATE or 102(e) DATE IF APPROPRIATE |
|---------------------|-----------------|---------------|------------------|-------|----------|--|
| <i>jm</i> | 3,573,653 | 4/71 | Smiley | 331 | 94.5 | |
| <i>jm</i> | 5,574,818 | 11/96 | Krivoshlykov | 385 | 122 | |
| <i>jm</i> | 5,751,749 | 5/98 | Yokozawa, et al. | 372 | 32 | |

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO |
|-----------|----------------------|---------------------|---------------|-------|----------|-----------------------|
| <i>jm</i> | 0 867 990 | 9/98 | EP | | | |
| | 42 36 296 | 5/94 | DE | | | |
| <i>jm</i> | 53-63896 | 6/78 | JP | | | |

No
translation

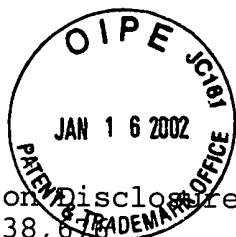
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|-----------|---|--|
| <i>jm</i> | Endo, M., "A Wide-Band RF Activated Electrooptic Laser Beam Modulator", THAM 5-4, IMTC '94, pp. 943-946 (May 1994). | |
| | Abstract of JP 53063896, Patent Abstracts of Japan, Vol. 002, No. 099 (August 17, 1978). | |

cited
Above

| | |
|-----------------------|----------------------------|
| EXAMINER <i>jm</i> | DATE CONSIDERED 8/27/02 |
|-----------------------|----------------------------|

EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Information Disclosure Statement
USSN 09/838,676
October 31, 2001
Page 3

| | | |
|--|------------------------------------|-------------------------------|
| Form PTO-1449 (Modified) | ATTY DOCKET NO. B-4093 618554-9 | U.S. SERIAL NO. 09/838,676 |
| LIST OF PATENTS AND PUBLICATIONS STATEMENT | APPLICANT Robert R. Hayes | |
| | FILING DATE April 19, 2001 | GROUP 2881 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | ISSUE DATE | NAME | CLASS | SUB- CLASS | FILING DATE or 102(e) DATE IF APPROPRIATE |
|---------------------|--------------------|---------------|----------------|-------|---------------|--|
| | 5,008,893 | 04/91 | Amann, et al. | 372 | 50 | |
| | 5,325,382 | 06/94 | Emura, et al. | 372 | 26 | |
| | 5,481,559 | 01/96 | Kawamura | 372 | 50 | |
| | 5,222,087 | 06/93 | Thulke, et al. | 372 | 20 | |
| | 5,333,141 | 07/94 | Wolf, et al. | 372 | 20 | |

FOREIGN PATENT DOCUMENTS

| DOCUMENT NUMBER | ISSUE DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES/NO |
|-----------------|---------------|---------|-------|----------|-----------------------|
| | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|---|
| | Kohler, Fabian, et al., "Transverse Laser-Modulator-Integration for Wavelength Tunable Laser Diodes," Walter Schottky Institute, Technische Universitat Munchen, Annual Report 1998, pp. 60-1. |
| | Guilfoyle, Peter S., et al., "Tunable DBR Lasers for Photonic Random Optical Memory Access (PROMAC)," Laser Diode Technology and Applications V, Proc. SPIE, Vol. 1850-35, pp. 292-299 (June 1993). |

| | | |
|--|----------|----------------------------|
| | EXAMINER | DATE CONSIDERED 8/27/02 |
|--|----------|----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED
JAN 23 2002
TC 2800 MAIL ROOM